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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS
ORIGINALLY FILED

Applicants: Peter K. Craft, et al.

Assignee: Alacritech, Inc.

Title: "NETWORK INTERFACE DEVICE THAT FAST-PATH
PROCESSES SOLICITED SESSION LAYER READ COMMANDS"

Serial No.: 09/970,124

Filed: October 2, 2001

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Examiner:

Tel:

MAY 10 2002

Docket No.: ALA-020

Art Unit: 2154

Technology Center 2100

Box DD
Assistant Commissioner For Patents
Washington, D.C. 20231

April 29, 2002

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, §1.97 and §1.98, Applicants bring the 32 documents listed on the enclosed forms PTO-1449 to the Examiner's attention in the above-captioned application. Citation of the listed documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant application;
2. a representation that a search has been made; or
3. an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b).

Copies of the documents listed on the enclosed form PTO-1449 (except for the Blumenau Patent No. 5,996,024) are not provided because they were either cited by the Examiner or submitted by Applicants in a prior application (09/464,283) that is relied upon for an earlier filing date under 35 U.S.C. §120.

A copy of the Blumenau patent (USP 5,996,024) is submitted.

I hereby certify that this correspondence is being deposited on the date indicated below with the United States Postal Service as first class mail in an envelope addressed to: Box DD, Assistant Commissioner for Patents, Washington, D.C. 20231.

April 29, 2002 T. Lester Wallace
Date T. Lester Wallace

Respectfully submitted,

T. Lester Wallace

T. Lester Wallace
Attorney for Applicants
Reg. No. 34,748

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| U.S. Department of Commerce, Patent & Trademark Office | Application No.: 09/970,124 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | Filing date: October 02, 2001 |
| (Use several sheets if necessary) | Inventors: Craft, et al. |
| NETWORK INTERFACE DEVICE THAT FAST-PATH PROCESSES SOLICITED SESSION LAYER READ COMMANDS" | Group Art Unit: 2154 |
| | Examiner name: Unknown |
| | Attorney Docket No. ALA-020 |

U.S. Patent Documents

| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date, If Appropriate |
|-------------------|----|-----------------|----------|-------------------|-------|----------|-----------------------------|
| | AA | 5,701,516 | 12/23/97 | Cheng et al. | 395 | 842 | |
| | AB | 5,809,328 | 09/15/98 | Nogales et al. | 395 | 825 | |
| | AC | 5,931,918 | 08/03/99 | Row et al. | 709 | 300 | |
| | AD | 5,935,205 | 08/10/99 | Murayama et al. | 709 | 216 | |
| | AE | 5,937,169 | 08/10/99 | Connery et al. | 395 | 200.8 | |
| | AF | 5,941,969 | 08/24/99 | Ram et al. | 710 | 128 | |
| | AG | 5,941,972 | 08/24/99 | Hoese et al. | 710 | 129 | |
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| | AT | 6,247,060 | 06/12/01 | Boucher et al. | 709 | 238 | |
| | AU | 08/964,304 | | Napolitano et al. | | | |

Foreign Patent Documents

| | | | | | | | Translation | |
|--|----|---------------------------------|----------|---------------|-------|----------|-------------|----|
| | | Document Number | Date | Country | Class | Subclass | Yes | No |
| | AV | WO 01/40960 A1 (PCT US00/32660) | 06/07/01 | International | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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|----------|-----------------|
| Examiner | Date Considered |
|----------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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| U.S. Department of Commerce, Patent and Trademark Office | | Application No.: 09/970,124 |
| | | Filing date: October 2, 2001 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | Inventors: Craft, et al. |
| (Use several sheets if necessary) | | Group Art Unit: 2154 |
| "NETWORK INTERFACE DEVICE THAT FAST-PATH PROCESSES SOLICITED SESSION LAYER READ COMMANDS" | | Examiner name: Unknown |
| | | Attorney Docket No. ALA-020 |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
| BA | "Two-Way TCP Traffic over Rate Controlled Channels: Effects and Analysis," by Lampros Kalampoukas, Anujan Varma and K.K. Ramakrishnan, IEEE Transactions on Networking, Volume 6, Number 6, 17 pages, December 1998. | |
| BB | "Toshiba Delivers First Chips to Make Consumer Devices Internet-Ready Based on iReady Design," IReady News Archives, 3 pages, downloaded November 2, 1998. | |
| BC | "File System Design for an NFS File Server Appliance," by Dave Hitz, James Lau and Michael Malcolm, 12 pages, Copyright 1996. | |
| BD | Adaptec press release, "Adaptec Announces EtherStorage Technology", 2 pages, June 14, 2000. | |
| BE | Adaptec article entitled, "EtherStorage Frequently Asked Questions," 5 pages, July 19, 2000. | |
| BF | Adaptec article entitled, "EtherStorage White Paper," 7 pages, July 19, 2000. | |
| BG | Article entitled, "Computers; Storage," by James Berlino and Ananda Baruah, CIBC World Markets Equity Research, 9 pages, August 7, 2000. | |
| BH | Merrill Lynch article entitled, "Storage Futures," by Steven Milunovich, 22 pages, May 10, 2000. | |
| BI | Market Watch - News Articles, "Montreal start-up battles data storage bottleneck," by Susan Taylor, 2 pages, March 5, 2000. | |
| BJ | Internet-Draft "SCSI/TCP (SCSI over TCP)," by J.Satran et al., 38 pages, February 2000. | |
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| Examiner | Date Considered | |
| <p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p> | | |

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